

# Awake prone positioning for COVID-19 acute hypoxemic respiratory failure in Tunisia

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**Background:** In this study, we explored whether awake prone position (PP) can impact prognosis of severe hypoxemia coronavirus disease 2019 (COVID-19) patients.

**Methods:** This was a prospective observational study of severe, critically ill adult COVID-19 patients admitted to the intensive care unit. Patients were divided into two groups: group G1, patients who benefited from a vigilant and effective PP (>4 hours minimum/24) and group G2, control group. We compared demographic, clinical, paraclinical and evolutionary data.

**Results:** Three hundred forty-nine patients were hospitalized during the study period, 273 met the inclusion criteria. PP was performed in 192 patients (70.3%). The two groups were comparable in terms of demographic characteristics, clinical severity and modalities of oxygenation at intensive care unit (ICU) admission. The mean PaO<sub>2</sub>/FIO<sub>2</sub> ratios were 141 and 128 mm Hg, respectively (P=0.07). The computed tomography scan was comparable with a critical >75% in 48.5% (G1) versus 54.2% (G2). The median duration of the daily PP session was 13±7 hours per day. The average duration of spontaneous PP days was 7 days (4–19). Use of invasive ventilation was lower in the G1 group (27% vs. 56%, P=0.002). Healthcare-associated infections were significantly lower in G1 (42.1% vs. 82%, P=0.01). Duration of total mechanical ventilation and length of ICU stay were comparable between the two groups. Mortality was significantly higher in G2 (64% vs. 28%, P=0.02).

**Conclusions:** Our study confirmed that awake PP can improve prognosis in COVID-19 patients. Randomized controlled trials are needed to confirm this result.

**Key Words:** COVID-19; intensive care unit; patient outcomes; prone position; respiratory distress

## INTRODUCTION

Since December 2019 and the severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) outbreak in China, a large number of cases have been reported worldwide. Although the majority of patients show mild symptoms, coronavirus disease 2019 (COVID-19) was responsible for severe illness characterized by progressive hypoxemic respiratory failure. Some patients had rapidly developed acute respiratory distress syndrome (ARDS) and other systemic complications followed by multiple organs failure and need for invasive mechanical venti-

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lation and intensive care unit (ICU) admission [1-3]. In patients who are intubated for moderate to severe ARDS, prone position (PP) was proposed as an adjuvant non respiratory tool allowing to improve oxygenation and reduce mortality. Its benefit was mainly reported highly hypoxemic patients [4]. Recently, awake PP has been proposed to enhance oxygenation in non-intubated patients with ARDS even those with severe COVID-19 [5] and it has been recently incorporated into guidelines and expert consensus statements [6,7] and has been identified as a research priority by the Surviving Sepsis Research Committee [8]. In this context, we aimed to assess if awake PP reduces the rate death or intubation in patients with severe COVID-19 acute hypoxemic respiratory failure who require respiratory support.

## MATERIALS AND METHODS

### Study Design and Setting

This study was approved by the Institutional Review Board of the Regional Hospital of Zaghouan (No. 32/2020) with a written informed consent for patients.

We conducted a prospective, observational and single-center study from March 2020 to September 2022 in the ICU of Regional Hospital of Zaghouan. All patients admitted to the ICU diagnosed with acute hypoxemic respiratory failure due to COVID-19 and confirmed by a reverse transcription polymerase chain reaction test were eligible. Acute hypoxemic respiratory failure was defined as a requirement of respiratory support with high-flow nasal cannula or non-invasive ventilation with the ratio of the partial pressure of arterial oxygen to the fraction of inspired oxygen ( $\text{PaO}_2/\text{FiO}_2$ )  $\leq 300$  mm Hg.

Awake PP was explained to every patient and they were encouraged to spend as much time in PP as they could tolerate. Target time in PP was 4 to 12 hours per day divided into three sessions which were lasting 4 to 6 hours. PP was performed one hour after meals to avoid gastrointestinal side effects.

We excluded patients who were unable to awake PP, hemodynamically unstable, pregnant women, or those who were intubated during the first 24 hours. However, patients who refused the PP were used as a control group. Demographical, clinical, radiological, and laboratory information were recorded, including comorbidities, respiratory variables, and details of treatments administered for COVID-19 on the day of admission and during ICU stay.

All patients received antibiotics if the inflammatory parameters or computed tomography (CT) lesions showed suspicion

### KEY MESSAGES

- Application of awake prone position (PP) for more than 8 hours/24 can improve prognostic in critically ill coronavirus disease 2019 (COVID-19) patients.
- Early application of awake PP seems to reduce the use of invasive mechanical ventilation.
- Awake PP seem to reduce the incidence of healthcare-associated infections.
- Randomized controlled trials are needed to confirm the beneficial effects of awake PP in COVID-19 patients.

of bacterial surinfection, vitamins, heparin and corticoids (dexamethasone 6 mg/day). Remdesivir and hydroxychloroquine were not prescribed in our study.

Oxygen therapy was initiated with face mask at 5 L/min and the flow rate was titrated to reach the target oxygen saturation as measured by pulse oximetry ( $\text{SpO}_2$ )  $>94\%$ . If the target  $\text{SpO}_2$  was not achieved then non-rebreathing mask at 10 to 15 L/min was considered. Non-invasive ventilation was started if  $\text{PaO}_2/\text{FiO}_2 < 200$  mm Hg or patients presented signs of respiratory distress such as retractions or accessory muscle use. Mechanical invasive ventilation was considered in refractory hypoxemic patient ( $\text{PaO}_2/\text{FiO}_2 < 150$  mm Hg with non-invasive ventilation) or in presence of other associated distress.

Included patients were divided into two groups: (1) PP group: patients who benefit of awake vigilant and effective PP ( $>8$  hours minimum/24) and (2) supine position (SP) group: supine group. A total of 349 patients were enrolled in the study period, among them, 273 patients were initially included: 192 patients in SP (70.3%) and 81 patients in PP (29.6%). The two groups were secondary matched according to demographic, clinical, and biological features. Two groups were divided G1 (PP) included 81 patients and G2 (SP) included 81 patients. The primary endpoint was ICU mortality. The secondary outcome were need for mechanical ventilation and length of hospital stay (Figure 1).

### Definitions

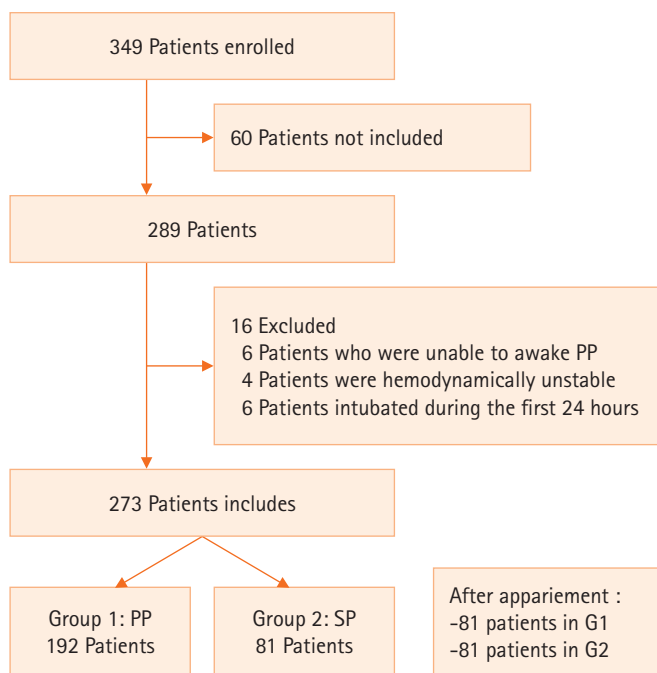
#### Awake PP

PP in non-intubated patients, has been attempted for patients with acute hypoxemic respiratory failure [1].

#### Hemodynamically unstable

It is an acute circulatory failure, due to an imbalance between

oxygen intake and needs (O<sub>2</sub>), not spontaneously reversible, resulting in tissue hypoxia by [2]: either a tissue perfusion defect causing a lack of O<sub>2</sub> intake (cellular hypoxia) and/or a defect in adenosine triphosphate production (energy deficiency).



**Figure 1.** Flowchart of population. PP: prone position; SP: supine position.

### Acute renal failure

It is a decrease in rapid-onset glomerular filtration rate resulting in uremia and life-threatening short-term water-electrolyte disorders [3].

### Healthcare-associated infections

Healthcare-associated infections acquired during the process of receiving health care that was not present during the time of admission. They may occur in different areas of healthcare delivery, such as in hospitals, long-term care facilities, and ambulatory settings, and may also appear after discharge [4].

### Statistical Modeling

Continuous variables were reported as mean (standard deviation) or median (interquartile range) depending on the distribution of data. We conducted both unadjusted and multivariable logistic regression models to investigate the effect of both dosages on primary and secondary endpoints. The multivariable model was adjusted by potential confounding factors identified at baseline [5].

## RESULTS

### Demographic Characteristic

The median age of our population was 58 years with sex ratio 1.48. Comorbidities was resumed in Table 1.

**Table 1.** The clinical presentation of the study group on hospital admission

Variable	Population (n=273)	PP (n=192)	SP (n=81)	P-value
Age (yr)	58 (28–92)	55 (28–60)	56 (28–75)	0.69
Sex ratio (M/F)	1.5	1.4	1.2	0.70
BMI (kg/m <sup>2</sup> )	29 (21–58)	33 (21–58)	29 (22–50)	0.01
Presence of comorbidities	124 (45.7)	83 (43.7)	32 (39.6)	0.07
Arterial hypertension	96 (35.5)	66 (34.8)	29 (36.1)	0.81
Diabetes	87 (31.9)	61 (31.6)	26 (32.1)	0.09
Cardiac comorbidities	43 (16.1)	25 (13)	18 (22.2)	0.06
History of PE	3 (1.2)	2 (1)	1 (1.2)	0.07
Dyslipidemia	52 (19.4)	31 (16.1)	21 (25.9)	0.06
Chronic respiratory failure	57 (21.2)	34 (17.7)	23 (28.3)	0.07
Asthma	10 (3.7)	7 (3.6)	3 (3.7)	0.92
COPD	19 (7.1)	15 (7.8)	4 (4.9)	0.07
CKD	2 (0.8)	1 (0.5)	1 (1.2)	0.81
Hypothyroidism	12 (3.4)	10 (5.2)	2 (2.4)	0.74

Values are presented as median (interquartile range) or number (%).

PP: prone position; SP: supine position; BMI: body mass index; PE: pulmonary embolism; COPD: chronic obstructive pulmonary disease; CKD: chronic kidney disease.

## Clinical Characteristics

The mean Acute Physiology and Chronic Health Evaluation (APACHE) II and Simplified Acute Physiology Score (SAPS) II severity scores were  $7.7\pm 4.4$  and  $24\pm 7.4$ , respectively. Mainly symptoms presented at the ICU admission were resumed in [Table 2](#). The laboratory results are shown in [Table 3](#). The acid-base profile for both groups showed no significant differences. During ICU stay, all patients received corticosteroids, for a median time of 8 days (interquartile range, 6– 10 days). In all patients, the initially corticosteroid prescribed was dexamethasone (12–24 mg/day). Moreover, 256 patients (97.4%) received heparins, 50 patients (18.3%) received preventive coagulation doses. The two groups had received the same medications. The CT scan was comparable with a critical >75% to 48.5% (G1) versus 54.2% (G2). The median duration of the daily PP session was  $13\pm 7$  hours per day. The average duration of spontaneous PP days was 7 days [4–19].

## Evolutive Findings

In our study, application of an awake PP was associated with a significant reduction in the need of mechanical invasive ventilation, healthcare-associated infections and acute renal failure ([Table 4](#)). Mechanical ventilation maintained for a median of 12 days for PP group versus 11 days for SP group ( $P=0.7$ ) without difference between the two groups.

## Mortality

In our study, we founded a higher rate of mortality in the SP group (28% vs 64%,  $P=0.02$ ). The median of length of ICU stays for group PP (11 days vs. 14 days,  $P=0.06$ ).

## Multivariate Analysis

All factors correlated with prognosis identified in univariate analysis were included in multivariate analysis models constructed by top-down stepwise method. Ultimately, the independent factors correlated with mortality were lack of awake PP (odds ratio [OR], 3.23; 95% confidence interval [CI], 1.6–4.2),

**Table 2.** Clinical parameter of the study group on admission

Variable	Total	PP	SP	P-value
Temperature (°C)	36.7±2.5	36.8±2.7	36.5±0.2	0.67
RR (cycles/min)	29.7±6.1	30±5.5	29±1.6	0.73
PaO <sub>2</sub> /FI <sub>2</sub> ratio	132±2	141±1	128±2	0.07
CR (bpm)	88±17	92±17	88±9	0.23
Glasgow scale score	14±1	14±1	14±1	0.67
Dyspnea	261 (95.8)	182 (94.7)	79 (97.5)	0.91
Fever	238 (87.4)	178 (92.7)	60 (74.1)	0.12
Cough	271 (77.9)	190 (98.9)	81 (100)	0.70

Values are presented as mean±standard deviation or number (%).

PP: prone position; SP: supine position; RR: respiratory rate; PaO<sub>2</sub>: arterial oxygen tension; FI<sub>2</sub>: fractional inspired oxygen; CR: cardiac rate.

**Table 3.** Paraclinical characteristics of the study group

Variable	Total	PP	SP	P-value
Leucocytes (10 <sup>3</sup> /μl)	9,808±55	10,302±6,359	9,066±4,047	0.402
Lymphocytes (10 <sup>3</sup> /μl)	990±110	1,125±349	787±550	0.251
CRP (mg/L)	122±87	148±87	85±75	0.006
Creatinine (mmol/dl)	90±65	93±70	86±62	0.806
Natremia (mEq/L)	133±16	135±6	130±24	0.196
Kaliemia (mEq/L)	4.0±0.5	4.1±0.6	3.9±0.4	0.248
Hemoglobin (mg/dl)	12.2±1.9	12.3±2.1	11.7±0.7	0.305
Platelets (10 <sup>3</sup> /μl)	246±81	236±77	328±73	0.058
PH	7.41±0.12	7.41±0.05	7.47±0.01	0.749
PaO <sub>2</sub> /FI <sub>2</sub> (mm Hg)	124±44	126±44	104±54	0.673

Values are presented as mean±standard deviation.

PP: prone position; SP: supine position; CRP: C-reactive protein; PaO<sub>2</sub>: partial pressure of arterial oxygen; FI<sub>2</sub>: fraction of inspired oxygen.

**Table 4.** The evolutive findings of the study group

Parameter	PP (n=192)	SP (n=81)	P-value
PEEP (cm H <sub>2</sub> O)	6.9±2.0	8.0±1.8	0.017
Needed of IMV (%)	27	56	0.002
PaO <sub>2</sub> /FIO <sub>2</sub>	280	195	0.02
Nosocomial infection (%)	42.1	82	0.01
VAP (%)	26.6	54.4	0.002
UTI (%)	6.6	15.1	0.68
Fungal infection (%)	0	2.3	0.91
TE (%)	20	5.8	0.06
Acute renal failure (%)	25	34	<0.001
Delay of IMV (day)	12	11	0.82
Mortality (%)	28	64	0.02

Values are presented as mean±standard deviation or numbers or percents. PP: prone position; SP: supine position; PEEP: positive end-expiratory pressure; IMV: invasive mechanical ventilation; PaO<sub>2</sub>: partial pressure of arterial oxygen; FIO<sub>2</sub>: fraction of inspired oxygen; VAP: ventilator associated pneumonia; UTI: urinary tract infections; TE: thromboembolic events.

occurrence of healthcare-associated infections (OR, 4.54; 95% CI, 3.24–10; P=0.02), septic shock (OR, 32.2; 95% CI, 2.89–100; P=0.04) and acute renal failure (OR, 18.86; 95% CI, 2.46–142; P=0.01) (Table 5).

## DISCUSSION

Our study confirmed that awake PP can improve severe hypoxemia and respiratory failure in COVID-19 patients with spontaneous breathing. In fact, awake PP in these patients was associated with significant reduce in need to invasive mechanical ventilation and mortality compared with SP.

This positive effect seems to be related to direct relationship on chest compliance, gas exchange and hemodynamic effects. In fact, overall compliance of the chest wall is influenced by the flexibility of its three anatomical boundaries: anterior, posterior, and abdominal. In the supine position, changes in compliance are most influenced by the abdomen and anterior chest wall, whereas in the PP, the posterior chest and abdomen are key determinants [7]. In ARDS patients, lung compliance is mainly determined by the degree of ventilation opening of the lungs. Notably, specific lung compliance was similar in ARDS patients and healthy individuals, suggesting that surfactant changes or early fibrosis were not major in altering lung mechanical properties [8]. It can be seen that any change in lung compliance is mainly due to the opening of new lung units and/or the mechanical improvement of the opened units to achieve a more favorable position on the volume-pressure

**Table 5.** Independent factor of mortality of the study group

Factor	P-value	OR	Minimum	Maximum
Lack of awake PP	0.03	3.23	1.61	4.22
Nosocomial infection	0.02	4.54	3.24	10.01
Septic shock	0.04	32.21	2.89	100.02
Acute renal failure	0.01	18.86	2.46	142.01

OR: odds ratio; PP: prone position.

curve [9]. In the PP, this beneficial displacement can occur by promoting a uniform distribution of total stress and strain [10]. The most striking change observed on CT scans when changing from supine to PPs is a dorsal to ventral redistribution of density [11]. Impact of PP on improving gas exchange is also determined by several effects. The first element is the amount of tissue that is open to ventilation and perfusion during the respiratory cycle. If recruitment of the dorsal lung exceeds that of the ventral lung, oxygenation should improve with unchanged perfusion distribution. The second element is the homogeneity of inflation. In homogeneity is related to the uneven distribution of ventilation. Since perfusion remains nearly constant, more uniform ventilation results in a more uniform ventilation to perfusion ratio distribution, which is reflected in reduced venous admixture and reduced dead space. Local changes in chest wall compliance may also contribute to improved oxygenation [10]. Due to the reduced compliance of the anterior chest wall and the curvature of the diaphragm, the distribution of tidal volumes actually shifts toward the posterior paraventral region of the lungs, where ventilation is usually absent in the SP. This improvement is a result of reduced heterogeneity in shunt and ventilator perfusion, as the anatomically cone-like lung fits into its cylindrical thorax, deforming less when the patient is prone or supine [11]. This reduces atelectasis in the dorsal lung region, where shunts are preferentially distributed in ARDS [12].

Although expert clearly recommend employment of PP in severe ARDS invasively ventilated, its place in critically ill COVID-19 patients non intubated still debated. The streamlined Surviving Sepsis Campaign Guidelines on the operation of grown-ups with coronavirus disease 2019 in the ICU started that there is inadequate substantiation to issue a recommendation on use of awake PP in non-intubated grown-ups with severe COVID-19 [13]. There were several confounding factors, duration, frequency of PP and type of oxygen supplementation ways weren't formalized across all included studies.

In the same way, World Health Organisation COVID-19



Clinical Management Living Guidance suggested a tentative recommendation for awake PP of oppressively ill COVID-19 cases taking supplemental oxygen (including high-inflow nasal oxygen)[14]. Lately streamlined “British Thoracic Society/ Intensive Care Society: respiratory care in patients with acute hypoxaemic respiratory failure associated with COVID-19” also suggested PP or side displacing for COVID-19 cases in the respiratory support pathway [15]. A recent expert agreement for the operation of COVID-19 affiliated acute respiratory failure concluded that awake PP considered to ameliorate oxygenation and it should be used when supplemental oxygenation is needed to maintain  $SpO_2 >90$  [16]. Numerous studies had evaluated benefit of awake PP in ARDS COVID-19 [16-20]. Elharrar et al had conducted a prospective, single-center study of PP applied in hypoxemic ARF treated with high-flow oxygen therapy [17]. Among the tolerant cases,  $PaO_2$  increased from 73.6 mm Hg before to 94.9 mm Hg during PP. Only three cases maintained better oxygenation 6–12 hours after resupination [18].

In COVID-19 hospitalized cases other analogous studies have reported advanced oxygenation during PP [18]. A methodical review and meta-analysis evaluated the effect, timing, and populations that might profit from awake proning regarding oxygenation, mortality, and tracheal intubation compared with SP in hypoxemic acute respiratory failure. This study concluded that a PP can ameliorate oxygenation among non-intubated patients with acute hypoxemic respiratory failure when applied for at least 4 hours. Awake proning appears safe, but generalization of this results needs more randomized controlled trials. The results of feasibility and safety studies will potentially help in the design of larger definitive trials to address this crucial knowledge gap [19]. Methodical review and meta-analysis of non-randomized trials demonstrated that PP enhanced  $PaO_2/FiO_2$  with better  $SpO_2$  than SP in COVID-19 cases. It also reported that the mortality rate was lower in those who entered prone ventilation [20].

Efficacy of PP combined with high-flow oxygen therapy remedy or non-invasive ventilation was lately reported in small cohorts of non-infectious and contagious non-COVID-19 ARDS cases [21]. Bahloul et al. [22] demonstrated in a prospective experimental study of severe, critically ill adult COVID-19 cases admitted to ICU, that the early operation of PP can ameliorate hypoxemia in severe hypoxemia COVID-19 patients.

This study presents some limitations. First, It was a single-center one. Second, feasibility of PP was not admitted for all patients and finally number of patients was small.

We supposed that awake PP could improves oxygenation during related ARDS COVID-19 non-intubated patients. Due to its relative ease of use, awake PP can reduce need for invasive mechanical and decrease mortality. Association of PP to non-invasive ventilation or high-flow nasal cannula is suggested to improve respiratory status. Randomized controlled trials are needed to confirm the beneficial effects of PP in COVID-19 patients with spontaneous breathing.

## CONFLICT OF INTEREST

No potential conflict of interest relevant to this article was reported.

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Conceptualization: KBI, IS. Methodology: KBI, IS, Formal analysis: KBI, IS, FE, IT, BBD. Data curation: KBI, IS, TM. Visualization: TM. Project administration: KBI, TM. Funding acquisition: KBS, NBS. Writing – original draft: KBI, IS. Writing – review & editing: TM, KBI, IS.

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